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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

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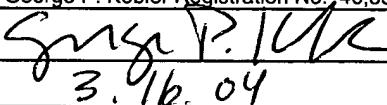
| | | | |
|--|---|------------------------|-------------------|
| | | Application Number | 10/730,460 |
| | | Filing Date | December 8, 2003 |
| | | First Named Inventor | Aaron G. Arellano |
| | | Group Art Unit | 2874 |
| | | Examiner Name | |
| Total Number of Pages in this Submission | 5 | Attorney Docket Number | 02-0466.93 |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Post Card |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

REMARKS

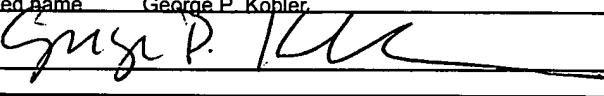
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual Name | George P. Kobler Registration No. 46,837 |
| Signature |  |
| Date | 3.16.04 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Practitioner's Docket Number: 02-0466.93

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Aaron G. Arellano

§

Group Art Unit: 2874

Examiner:

Serial No.: 10/730,460

§

Filed: December 8, 2003

§

Confirmation No.: 2907

For: *Temperature-controlled Flexible
Optical Circuit for Use in an
Erbium-doped Fiber Amplifier and
Method for Fabricating the Flexible
Optical Circuit*

INFORMATION DISCLOSURE STATEMENT

PURSUANT TO 37 C.F.R. § 1.97 and § 1.98

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

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Signature

George P. Kobler

(type or print name of person certifying)

Sir:

Listed on the accompanying forms PTO/SB/08A & PTO/SB/08B are documents which may be considered material to the examination of this application. A copy of the non patent literature documents are provided.

Pursuant to 37 CFR §1.97, the undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 more than three months prior to the filing of the information disclosure statement.

The filing of this supplemental information disclosure statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, Applicant(s) reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that a search has been made, or that there does not exist information more material to the examination of the present application. The Examiner is specifically requested not to rely solely on the materials submitted herewith. Instead the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of enclosed PTO/SB/08A & PTO/SB/08B and to similarly indicate that the document have been considered.

Respectfully submitted,

George P. Kobler
Registration No. 46,837
March 16, 2004

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Practitioner's Docket Number: 02-0466.93



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| Substitute for form 1449A/PTO | | | | <i>Complete if Known</i> | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/730,760 |
| | | | | Filing Date | December 8, 2003 |
| | | | | First Named Inventor | Aaron G. Arellano |
| | | | | Group Art Unit | 2874 |
| | | | | Examiner Name | |
| (use as many sheets as necessary) | | | | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 02-0466.93 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | | |
|-------------------------------|--|----------------------------|--|
| Examiner Signature | | Date Considered | |
|-------------------------------|--|----------------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's Unique citation designation number (optional). ² See Kinds Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.4.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| | | | | | |
|--|---|----|---|---------------------------------|-------------------|
| Substitute for form 1449B/PTO | | | | <i>Complete if Known</i> | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Application Number | 10/730,460 |
| Sheet | 1 | of | 1 | Filing Date | December 8, 2003 |
| | | | | First Named Inventor | Aaron G. Arellano |
| | | | | Group Art Unit | 2874 |
| | | | | Examiner Name | |
| | | | | Attorney Docket Number | 02-0466.93 |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| | 1 | "Flat Flexible Erbium-Doped Fiber-Coil Sheet (EDF-Sheet)", Version 1.1, February 6, 2002. | | T ² |
| | 2 | "Fiber Management with the Next Generation Flex Circuit" http://www.us.schott.com/optovance/english/products/opticalshuffle.html , downloaded April 3, 2002. | | |
| | 3 | "Fiber Optic Solutions" http://www.fciconnect.com/products/fiber_06.html , downloaded April 3, 2002. | | |
| | 4 | "Contours™ Optical Circuits" http://www.usconecon.com/pages/product/circuit/maininfo.html , downloaded April 3, 2002. | | |
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| Examiner Signature | | Date Considered | |
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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